



22883

PTO/SB/21 (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/719,891	
	Filing Date	Nov 21, 2003	
	First Named Inventor	Majidi-Ahy, Reza	
	Art Unit	NYA	
	Examiner Name	NYA	
Total Number of Pages in This Submission	5+	Attorney Docket Number	164.1003.09

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Steven A. Swernofsky	Reg. No. 33,040
Signature		
Date	APR. 2, 2004	

CERTIFICATE OF TRANSMISSION/MAILING			
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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22883

Attorney Docket 164.1003.09

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Majidi-Ahy

Serial No. 10/719,891

Filed: 11/21/2003

For: Integrated Self-Optimizing Multi-Parameter and Multi-Variable Point to Multipoint Communication System

Art Unit: NYA

Examiner: NYA

CERTIFICATE OF MAILING

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**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner  
for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is submitted under:

- ☒ 37 CFR 1.97(b)  
(Within three months of the national application filing or the entry of international application, OR before the mailing date of first Office Action, whichever occurs last.)
- ☐ 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e)  
or ☐ \$180.00 fee set forth in 37 CFR 1.17(p)  
(After the CFR 1.97(b) time period, but before final Office Action or Notice of Allowance, whichever occurs first.)
- ☐ 37 CFR 1.97(d) together with a:  
☐ Statement under 37 CFR 1.97(e)  
and ☐ \$180.00 fee set forth in 37 CFR 1.17(p)  
(After the CFR 1.97(c) time period, but before payment of the Issue fee.)

### List of Documents

Applicant(s) submits herewith:

- ☒ Form PTO SB/08A (Information Disclosure Statement by Applicant) listing patent document(s) of which applicant(s) believes might be material to the examination of this application and for which there might be a duty to disclose in accordance with 37 CFR 1.56.
- ☐ Form PTO SB/08B (Information Disclosure Statement by Applicant) listing non-patent document(s) of which applicant(s) believes might be material to the examination of this application and for which there might be a duty to disclose in accordance with 37 CFR 1.56.

### Copies of Documents

- ☒ Applicant(s) submits herewith copies of all listed documents.
- ☐ Copies of the listed documents are not included with this filing. Copies of these documents were previously submitted in the following related application:

Docket No.: \_\_\_\_\_  
Serial No.: \_\_\_\_\_  
Filed: \_\_\_\_\_

THE EXAMINER IS ENCOURAGED AND EXHORTED TO MAKE HIS OR HER OWN INDEPENDENT EVALUATION OF POSSIBLE RELEVANCE OF THE LISTED DOCUMENTS.

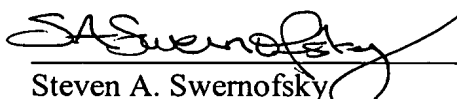
At the present time, Applicant(s) submits that the listed document(s), taken alone or in combination, neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

In the event fees are required, authorization is hereby granted to charge these fees to Deposit Account No. 50-0365.

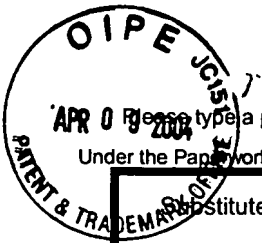
It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Date: APR. 2, 2004

  
\_\_\_\_\_  
Steven A. Swernofsky  
Reg. No. 33,040

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PTO/SB/08A (08-00)

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/719,891
				Filing Date	11/21/2003
				First Named Inventor	Majidi-Ahy
				Group Art Unit	NYA
				Examiner Name	NYA
Sheet	1	of	2	Attorney Docket Number	164.1003.09

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	5,546,397	A	Mahany	08-13-1996	
	2	5,546,411	A	Leitch et al.	08-13-1996	
	3	5,553,316	A	Diepstraten et al.	09-03-1996	
	4	5,598,417	A	Crisler et al.	01-28-1997	
	5	5,625,875	A	Whinnett et al.	04-29-1997	
	6	5,666,399	A	Bales et al.	09-09-1997	
	7	5,669,066	A	Borg et al.	09-16-1997	
	8	5,729,531	A	Raith et al.	03-17-1998	
	9	5,808,760	A	Gfeller	09-15-1998	
	10	5,859,839	A	Ahlenius et al.	01-12-1999	
	11	5,896,411	A	Ali et al.	04-20-1999	
	12	6,006,073	A	Glauner et al.	12-21-1999	
	13	6,108,561	A	Mallinckrodt	08-22-2000	
	14	6,236,647	B1	Amalfitano	05-22-2001	
	15	6,452,915	B1	Jorgensen	09-17-2002	
	16	6,636,488	B1	Varma	10-21-2003	
	17	6,643,322	B1	Varma et al.	11-04-2003	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	1	WO	98/59523	A2	Gorsuch et al.	12-30-1998		
	2	WO	99/18685	A1	Alfano et al.	04-15-1999		
	3	WO	00/13362	A1	Eichinger et al.	03-09-2000		
	4	WO	00/22865	A2	Malmgren et al.	04-20-2000		
	5	WO	01/50633	A1	Varma et al.	07-12-2001		
	6	WO	01/50669	A1	Majidi-Ahi et al.	07-12-2001		
	7	WO	02/05493	A2	Majidi-Ahy	01-17-2002		
	8	WO	02/13447	A2	Majidi-Ahy et al.	02-14-2002		
	9	WO	02/25856	A2	Varma et al.	03-28-2002		
	10	EP	0 794 631	A2	Takeuchi et al.	09-10-1997		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				<b>Application Number</b>	10/719,891
				<b>Filing Date</b>	11/21/2003
				<b>First Named Inventor</b>	Majidi-Ahy
				<b>Group Art Unit</b>	NYA
				<b>Examiner Name</b>	NYA
				<b>Attorney Docket Number</b>	164.1003.09
Sheet	2	of	2		

[illegible][illegible]

Examiner Signature		Date Considered	
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Indications of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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